

## KNOW YOUR CUSTOMER (KYC) PROFILE FORM- (INDIVIDUAL)

(Requirement in terms of the Financial Transaction Reporting Act No. 06 of 2006)

### PERSONAL DETAILS (Tick "✓" the appropriate boxes where applicable)

Name in Full

NIC No.

PP No.

Permanent Address

Postal Address

Duration of Stay at Present Address

Months/Years

#### Status of the Residential Address

Own     
  Lease/Rent     
  Friend's/Relative's     
  Parent's  
 Official     
  Board/Lodging     
  Others....

Occupation/Employment/Position Held

Name and Address of Employer

If Self-Employed, Nature of Business

Business Registration Number

Citizenship
<input type="checkbox"/> Sri Lankan
<input type="checkbox"/> Sri Lankan with Dual Citizenship
<input type="checkbox"/> Sri Lankan with Foreign Citizenship
<input type="checkbox"/> Foreign National

For Foreign Nationals;	
Nationality	<input type="text"/>
Type of visa	<input type="text"/>
Expiry Date	<input type="text"/>

Any Tax liability in USA  Yes  No

In Case of Foreign Passport Holders, Please mention the purpose of opening the account in the foreign jurisdiction.

### PURPOSE OF OPENING THE ACCOUNT (S)

Business Transactions     
  Employment / Profession Income     
  Savings  
 Bill Payment/Loan Repayment     
  Share Transactions / Investment Purposes     
  Remittances  
 Social & Charity Work     
  Other

### SOURCE OF FUNDS (Expected source and nature of credits into the account)

Salary/Profit/Professional Income     
  Export Proceeds     
  Sales and Business Turnover  
 Donations/Charities (Loan/Foreign)     
  Rent Income     
  Investment Proceeds  
 Sale of Property/Assets     
  Gifts     
  Other.....

**ANTICIPATED VOLUMES** (Expected/Usual average volumes of deposits into UB Finance in Rs. Per month)

Less than 200,000     
  200,000 to 1,000,000     
  1000,000 to 3,000,000  
 Over 3,000,000

**EXPECTED MODE OF TRANSACTIONS**

Cash     
  Cheque     
  Fund Transfer

**OTHER CONNECTED BUSINESS/PROFESSIONAL ACTIVITIES** (if applicable)
**I. Name, Date of Birth & Nationality Verification: To be supported by one of the following documents**

National Identity Card No.     
  Passport No.

Official Armed Forces Service Card No.     
  Driving Licence No.

Other (Specify)

**II. Address Verification: Residential address to be verified & supported by one of the following accepted documents (Mobile Phone bills are not accepted)**

National Identity Card     
  Tenancy Agreement     
  Employment Contract

Statement Of Other Banks     
  Driving License     
  Income Tax Receipt / Assessment Notice

Letter from public Authority     
  Utility Bills (Specify)

**YOUR INTRODUCTION TO UB FINANCE COMPANY LIMITED**

Friend/Relation     
  UB Sales Person     
  Advertisement     
  Other

Recommended by Employer     
  Word of mouth     
  Existing account holder     
  Paper article

Name of introducer

Account holder's signature

Date

**SECTION B: MANDATORY CHECKS (FOR OFFICE USE ONLY)****I. Copy of the Valid Visa/Permit [In case of accounts for non national customers]**

Attached     
  Not Attached

**II. Is the Client or any Member of his Immediate Family a Politically Exposed Persons (PEP)**

Yes     
  No

If "YES" Please specify :

Marketing signature

Emp. No

Date

CIF No

Customer grading

Date

Details Input  
by  
Authorized  
by

Name	Branch	Signature	Emp No.
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>